

**10/552936**

**JC20 Rec'd PCT/PTO 11 OCT 2005**

Express Mail #EV129275707US

Attorney Docket # 502902-210PUS

Patent

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re National Phase PCT Application of

Bert BRAUNE et al.

Int'l PCT Serial No.: PCT/DE2004/000722

Int'l Filing Date:: 6 April 2004

For: Luminophore-Based LED and  
Corresponding Luminous Substance

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

S I R:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO SB/08A.

In accordance with 37 C.F.R §§1.97(g) and (h), the filing of this Information Disclosure Statement should not be construed as a representation that a search has been made or that information cited is, or is considered to be, material to patentability as defined in §1.56(b), or that any cited document listed or attached is (or constitutes) prior art. Unless otherwise indicated, the date of publication indicated for an item is taken from the face of the item and Applicant(s) reserve(s) the right to prove that the date of publication is in fact different.

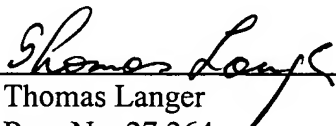
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Submitted herewith is a copy of the International Search Report issued in connection with International Application on which the present U.S. National Phase application is based.

Copies of the references cited in the International Search Report have been supplied by WIPO to the USPTO. Therefore, copies of the cited references in the International Search Report are not being provided herewith in accordance with current U.S. practice because they should be on file already at the USPTO.

It is respectfully requested that the Examiner return an initialed copy of the attached Form PTO SB/08A to confirm that the publications supplied by WIPO and listed therein have been considered and made of record.

Respectfully submitted,  
COHEN, PONTANI, LIEBERMAN & PAVANE

By   
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Dated: October 11, 2005

**10/552936**

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Check box if applicable:

☐ DUPLICATE

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In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO/SB/08a. Copies of the listed non-U.S. documents are enclosed.

USP 6,084,250 corresponds to DE 197 56 360 A1.

USP 6,395,564 corresponds to GB 2 372 149.

It is respectfully requested that the above information be considered by the Examiner and that the copy of the enclosed Form PTO/SB/08a be returned indicating that such information has been considered.

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JC20 Rec'd PET/PTO 11 OCT 2005

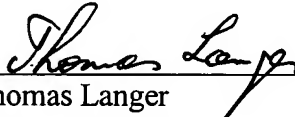
In accordance with 37 C.F.R §§1.97(g) and (h), the filing of this Information

Disclosure Statement should not be construed as a representation that a search has been made or that information cited is, or is considered to be, material to patentability as defined in §1.56(b), or that any cited document listed or attached is (or constitutes) prior art. Unless otherwise indicated, the date of publication indicated for an item is taken from the face of the item and Applicant(s) reserve(s) the right to prove that the date of publication is in fact different.

If any fees or charges are deemed required at this time in connection with the application, the same may be charged to our Patent and Trademark Office Deposit Account No. 03-2412.

Respectfully submitted,  
COHEN, PONTANI, LIEBERMAN & PAVANE

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Dated: October 11, 2005

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Substitute for Form 1449/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)		Application Number	<b>10/552936</b>
		Filing Date	
		First Named Inventor	Bert BRAUNE
		Art Unit	
		Examiner Name	
Sheet 1 of 1	Attorney Docket Number	502902-210PUS	

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind-Code <sup>2</sup> (if known)			
		US-5,777,433	07/07/1998	Lester et al.	
		US-6,207,229 B1	03/27/2001	Bawendi et al.	
		US-6,351,069 B1	02/26/2002	Lowery et al.	
		US-6,066,861	05/23/2000	Höhn et al.	
		US-2003/0020101 A1	01/30/2003	Bogner et al.	
		US-5,998,925	12/07/1999	Shimizu et al.	
		US-6,048,616	04/11/2000	Gallagher et al.	
		US-6,395,564 B1	05/28/2002	Huang	
		US-2001/0048966 A1	12/06/2001	Trumble et al.	
		US-6,084,250	07/04/2000	Jüstel et al.	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind-Code <sup>5</sup> (if known)				
		WO 02/089175 A1	11/07/2002	Gelcore, LLC		
		EP 0 622 439 A1	04/13/1994	N.V.Phillips		
		GB 2 389 230A	12/03/2003	National Taiwan University		
		DE 197 56 360 A1	09/10/1998	Jüstel et al.		
		GB 2 372 149 A	08/14/2002	Arima Optoelectronics Corp.		

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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		Application Number	<b>10/552936</b>
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		First Named Inventor	Bert BRAUNE
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		Number-Kind-Code <sup>2</sup> (if known)			
		US-2003/032192 A1	02/13/2003	Haubold et al.	
		US-2003/017264 A1	01/23/2003	Treadway et al.	
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		Country Code <sup>3</sup> Number <sup>4</sup> Kind-Code <sup>5</sup> (if known <sup>1</sup> )				
		WO 98/12757 A	03/26/1998	Siemens AG et al.		
		EP 1 074 603 A	02/07/2001	Patent Treuhand-Gesellschaft		
		WO 97/50132 A	12/31/1997	Siemens AG et al.		
		WO 01/08453 A	02/01/2001	Osram Opto Semiconductors GmbH		
		WO 01/08452 A	02/01/2001	Patent Treuhand-Gesellschaft		
		WO 03/028061 A	04/03/2003	Treadway et al.		

Examiner Signature		Date Considered	
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